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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Forehand, et al.  
Serial No.: 09/894,152  
Filed: June 27, 2001  
Title: NON-VOLATILE WRITE CACHE, IN A DISC DRIVE, USING AN ALTERNATE POWER SOURCE

Examiner:  
Group Art Unit:  
Docket: STL9831/40046.137USU1

CERTIFICATE UNDER 37 CFR 1.10

'Express Mail' mailing label number: EL719666231

Date of Deposit: July 26, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service 'Express Mail Post Office To Addressee' service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

By:

Mary C. Notter

Commissioner for Patents  
Washington, D.C. 20231

Sir:

We are transmitting herewith the attached:

- ☒ Transmittal Sheet in duplicate containing Certificate of Mailing
- ☒ Information Disclosure Statement, Form 1449, 17 Reference(s)
- ☒ Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C.  
P.O. Box 2903, Minneapolis, MN 55402-0903  
303.357.1670

By:   
Name: John B. Phillips  
Reg. No.: 37,206  
JBP





PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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By: Mary C. Notter  
Name: Mary C. Notter

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. §1.97(b))

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted within three months of the filing date of the above-identified application. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not

represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

Respectfully submitted,

Dated: 7/26/2001



John B. Phillips  
John B. Phillips, #37,206  
Merchant & Gould P.C.  
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303.357.1634

<b>FORM 1449</b> <b>INFORMATION DISCLOSURE STATEMENT</b> <b>IN AN APPLICATION</b> (Use several sheets if necessary)	Docket Number: STL9831/40046.137USUI	Application Number: 09/894,152
	Applicant: Forehand, et al.	
	Filing Date: June 27, 2001	Group Art Unit:

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	4,912,632	3/27/90	Gach, et al.	364	200		
	5,420,983	5/30/95	Noya, et al.	395	275		
	5,448,719	9/5/95	Schultz, et al.	395	182.03		
	5,551,002	8/27/96	Rosich, et al.	395	461		
	5,598,395	1/28/97	Watanabe	369	116		
	5,636,355	6/3/97	Ramakrishnan, et al.	395	440		
	5,680,539	10/21/97	Jones	395	182.04		
	5,761,709	6/2/98	Kranich	711	122		
	5,765,193	6/9/98	Rosich, et al.	711	136		
	5,813,024	9/22/98	Saito	711	113		
	5,829,018	10/27/98	Moertl, et al.	711	113		
	5,829,019	10/27/98	Thompson, et al.	711	113		
	5,860,113	1/12/99	Tung	711	144		
	5,900,016	5/4/99	Ghosh	711	143		
	5,900,018	5/4/99	Lynch	711	147		
	5,991,852	11/23/99	Bagley	711	112		
	6,009,498	12/28/99	Kumasawa, et al.	711	113		
FOREIGN PATENT DOCUMENTS							
	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							



23552

PATENT TRADEMARK OFFICE

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.	